STABILITY & VALIDITY OF AUTOMATED VOCAL INDICES

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| 4 | The Stability and Validity of Automated Indices of Vocal Development | | | | | | |
| 5 | in Infants with Autistic and Non-Autistic Siblings | | | | | | |
| 6 | Jennifer E. Markfeld, MS ^{a*} & Jacob I. Feldman, PhD ^{b,c*} , | | | | | | |
| 7 | Claire Daly, MS ^{a,d} , Pooja Santapuram, MD ^{e,f} , Sarah M. Bowman, MD ^{b,g} , Kacie Dunham-Carr, | | | | | | |
| 8 | BA ^{a,h,i} , Evan Suzman, MS ^{j,k} , Bahar Keçeli-Kaysılı, PhD ^b , Tiffany G. Woynaroski, PhD ^{b,c,h,l} | | | | | | |
| 9 | | | | | | | |
| 10 | ^a Department of Hearing & Speech Sciences, Vanderbilt University, Nashville, TN, USA | | | | | | |
| 11 | ^b Department of Hearing & Speech Sciences, Vanderbilt University Medical Center, Nashville, | | | | | | |
| 12 | TN, USA | | | | | | |
| 13 | ^c Frist Center for Autism and Innovation, Vanderbilt University, Nashville, TN, USA | | | | | | |
| 14 | ^d Present Affiliation: Children's Healthcare of Atlanta, Egleston Hospital, Atlanta, GA, USA | | | | | | |
| 15 | ^e Vanderbilt University School of Medicine, Nashville, TN, USA | | | | | | |
| 16 | ^f Present Affiliation: Department of Anesthesiology, Columbia University Irving Medical Center | | | | | | |
| 17 | New York City, NY, USA | | | | | | |
| 18 | ^g Present Affiliation: Department of Pediatrics, Cincinnati Children's Hospital, Cincinnati, OH, | | | | | | |
| 19 | USA | | | | | | |
| 20 | ^h Vanderbilt Brain Institute, Vanderbilt University, Nashville, TN, USA | | | | | | |
| 21 | ⁱ Neuroscience Graduate Program, Vanderbilt University, Nashville, TN, USA | | | | | | |
| 22 | ^j Master's Program in Biomedical Science, Vanderbilt University, Nashville, TN, USA | | | | | | |
| 23 | ^k Present Affilitation: UT Southwestern School of Medicine, Dallas, TX | | | | | | |
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- ¹Vanderbilt Kennedy Center, Vanderbilt University Medical Center, Nashville, TN, USA
- 25 * Authors made equal contributions to this work.

Author Note

27 Jennifer E. Markfeld, MS, CF-SLP, is a PhD student in the Department of Hearing and 28 Speech Sciences at Vanderbilt University. Jacob I. Feldman, PhD, CCC-SLP, is a research 29 fellow in the Department of Hearing and Speech Sciences at Vanderbilt University Medical 30 Center. Claire Daly, MS, was a master's of speech-language pathology student in the Department 31 of Hearing and Speech Sciences at Vanderbilt University Medical Center at the time this work 32 was completed and is presently a Clinical Fellow in Speech-Language Pathology at Children's 33 Healthcare of Atlanta. Pooja Santapuram, MD, was a medical student in the School of Medicine 34 at Vanderbilt University at the time this work was completed and is presently a resident in 35 anesthesiology at Columbia University Irving Medical Center. Sarah M. Bowman, MD, was 36 previously a research assistant in the Department of Hearing and Speech Sciences at Vanderbilt 37 University Medical Center and is presently a resident in pediatrics at Cincinnati Children's 38 Hospital. Kacie Dunham-Carr, BA, is a PhD student in Neuroscience and Hearing and Speech 39 Sciences at Vanderbilt University. Evan Suzman, MS, was a graduate student in the Master's 40 Program in Biomedical Science at Vanderbilt University at the time this work was completed 41 and is presently a medical student at UT Southwestern School of Medicine. Bahar Keceli-42 Kaysili, PhD, is a Clinical and Translational Research Coordinator in the Department of Hearing 43 and Speech Sciences at Vanderbilt University Medical Center. Tiffany Woynaroski, PhD, is 44 Assistant Professor in the Department of Hearing and Speech Sciences, Investigator in the 45 Vanderbilt Kennedy Center at Vanderbilt University Medical Center, Fellow of the Frist Center for Autism and Innovation at Vanderbilt University, and member of the Vanderbilt Brain 46 47 Institute at Vanderbilt University.

- 48 Correspondence regarding this manuscript may be addressed to Jennifer Markfeld via
- 49 email at jennifer.e.markfeld@vanderbilt.edu, by phone at (804) 551-1510, or by mail at MCE
- 50 8310 South Tower, 1215 21st Avenue South, Nashville, TN 37232.

Abstract

52 Purpose: This study evaluates the extent to which automated indices of vocal development are 53 stable and valid for predicting language in infants at increased familial likelihood for autism 54 and/or language impairment and relatively lower likelihood infants.

55 Method: A group of infants with autistic siblings (20 infants; Sibs-autism) and a comparison

56 group of infants with non-autistic siblings (20 infants; Sibs-NA) wore Language ENvironment

57 Analysis (LENA) recording devices for 16 hours on two days within a one-week period. Extant

58 software was used to derive several putative indices of vocal development from these recordings.

59 Stability of these variables was examined across and within groups. Expressive and receptive

60 language aggregates were calculated for each participant. Multiple regression analyses were used

61 to (a) evaluate zero-order correlations for variables derived from LENA recordings with

62 concurrent and future language and (b) test whether those associations were moderated by group63 status.

64 Results: Both stability and validity differed by variable and group status. All variables reached 65 acceptable stability in the Sibs-autism group within two to three observations, while stability of 66 most variables was attenuated in the Sibs-NA group. No variables were associated with 67 concurrent language in the theoretically-motivated direction across groups, but two variables 68 were strongly associated with concurrent expressive language in only the Sibs-NA group. 69 Additionally, two variables were associated with later expressive language, though these 70 correlations were again stronger in the Sibs-NA versus Sibs-autism group. 71 **Conclusions:** Although selected automated indices of vocal development were stable in Sibs-

72 autism and/or valid for predicting expressive language within Sibs-NA, no scores showed strong,

73 theoretically-motivated associations with language within the Sibs-autism group. Automated

- 74 indices of vocal development may, thus, have limited validity or clinical utility for predicting
- 75 language development in infants at elevated familial likelihood for autism.
- 76 *Keywords:* vocal development, LENA, autism

78

The Stability and Validity of Automated Indices of Vocal Development

in Infants with Autistic and Non-Autistic Siblings

79 Prelinguistic vocalizations are recognized as the foundation upon which language is built. 80 Infants, universally, pass through distinct vocal stages enroute toward spoken word and broader 81 spoken language use (Oller, 2000), and aspects of prelinguistic vocal development such as the 82 frequency, duration, complexity, and reciprocity of vocalizations appear to be useful for 83 predicting language development in a number of clinical and at-risk populations (Jensen et al., 84 1988; Patten et al., 2014; Plumb & Wetherby, 2013). Measuring early vocalizations may be 85 especially useful for young children with or at heightened likelihood for autism, who have been 86 reported to experience early disruptions in vocal development and to have highly variable 87 language outcomes (e.g., Chericoni et al., 2016; Paul et al., 2011; Sheinkopf et al., 2000; 88 Swanson et al., 2018; Tager-Flusberg et al., 2005; Tager-Flusberg & Kasari, 2013; Yankowitz et 89 al., 2022).

A large body of literature has found that autistic preschool children present with altered 90 91 or reduced preverbal vocalizations (e.g., Sheinkopf et al., 2000; Wetherby et al., 1988; Wetherby 92 et al., 1998) that may predict later expressive language (McDaniel et al., 2019; Woynaroski et 93 al., 2016; see McDaniel et al., 2018 for a review). A few studies have specifically shown that 94 vocal development in infancy and toddlerhood may predict future autism features and language 95 skills in young autistic children. For example, the occurrence of syllabic, speech-like vocal 96 productions in the second year of life were previously observed to predict pervasiveness of future 97 autism features (Plumb & Wetherby, 2013), and indices of vocal development obtained from 98 standardized assessments in toddlerhood were found to predict later expressive language 99 outcomes (Chawarska et al., 2007). However, most of this research has been carried out using

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conventional behavior sampling approaches, which are extremely time-intensive (and thus
costly) to collect and code. As a result, such measures likely have limited potential to translate to
use in everyday clinical practice.

Due to recent technological advances, a wide range of variables purported to index vocal development can now be derived from automated analysis of audio recordings collected via Language ENvironment Analysis (LENA) recorders (Gilkerson et al., 2017). The LENA device is a small recorder that fits into specialized clothing worn by the child. LENA devices have a 16hour recording capacity, allowing for long-form audio recordings of a child's home language environment. The accompanying software allows for the derivation of many indices purported to tap child vocal development.

110 Indices currently available for commercial use through LENA standard packages include: 111 Child Vocalization Count (CVC), Child Vocalization Duration (CVD), and Automated Vocal 112 Analysis (AVA) raw scores (based on the distribution of biphone pairs; see Richards et al., 2017 113 for further detail). Additional proprietary variables, such as the Infraphonological Vocal 114 Development (IVD) and Average Count Per Utterance (ACPU) scores, can be obtained through 115 the LENA Foundation for research purposes (Xu et al., 2014), and still others such as the 116 Reciprocal Vocal Contingency score (Harbison et al., 2018) can be derived via external software 117 programs. Although these indices provide valuable information about a child's vocal 118 development, LENA cannot provide precise information on aspects of language such as mean 119 length of utterance or linguistic diversity for children or adult speech (Putnam et al., 2023). 120 Additionally, there is limited evidence to support its utility for school-aged autistic children with 121 limited spoken language (e.g., Jones et al., 2019; Woynaroski et al., 2017).

| 122 | Several studies have evaluated the psychometrics of variables that can be derived via |
|-----|--|
| 123 | automated vocal analysis in preschool-aged autistic children (e.g., Bredin-Oja et al., 2018; |
| 124 | Harbison et al., 2018; Warren et al., 2010; Woynaroski et al., 2017; Yoder et al., 2013). For |
| 125 | example, automated indices of vocalization count and duration were reported to differentiate |
| 126 | groups of preschoolers with and without autism and to be sensitive to short-term treatment |
| 127 | effects (Warren et al., 2010). Using generalizability (G) and decision (D) studies (Cronbach et |
| 128 | al., 1963; Yoder et al., 2018), Woynaroski, Yoder, and colleagues found that several automated |
| 129 | metrics of vocal development, including indices of vocal complexity and vocal reciprocity |
| 130 | derived from LENA recordings, were highly stable (Harbison et al., 2018; Woynaroski et al., |
| 131 | 2016). Further, some of these automated scores have been observed to predict concurrent and |
| 132 | future expressive language in autistic preschoolers (e.g., Harbison et al., 2018; Trembath et al., |
| 133 | 2019; Woynaroski et al., 2017; Yoder et al., 2013). Evidence for associations between automated |
| 134 | indices of vocal development and language to date, however, is mixed (McDaniel et al., 2020; |
| 135 | Rankine et al., 2017; Sulek et al., 2022; Woynaroski, 2014). |
| 136 | The work reviewed above provides some preliminary support for the consideration of |
| 137 | automated vocal analysis in research, and perhaps ultimately in clinical practice, in autistic |
| 138 | preschoolers. However, little is known at present about the application of automated indices of |
| 139 | vocal development in infant siblings of autistic children, who are known to be at heightened |
| 140 | likelihood for receiving a future diagnosis of autism and/or language impairment (Sibs-autism; |
| | |

141 Messinger et al., 2013; Ozonoff et al., 2014). Given that language development is highly

142 heterogenous in Sibs-autism, and that early language intervention may be particularly important

143 due to an increased likelihood for not only autism but also language impairment, measuring early

144 vocal features of this population could inform future research and clinical practice (e.g.,

Hampton & Rodriguez, 2022; Ozonoff et al., 2014; Swanson et al., 2018). Some studies have used LENA to measure early vocal development in Sibs-autism; however, it is not yet known whether variables derived via this novel, automated approach are similarly stable and valid for predicting concurrent and/or future language in this population. Thus, it is not yet clear whether this novel technology may be useful for determining which infants within this high likelihood group might benefit from early intervention.

151 A recent systematic review of the use of LENA in autism research identified only a few 152 published articles that used LENA in the Sibs-autism population (Putnam et al., 2023). Of these 153 articles, one study examined the concurrent validity of LENA variables with other, standardized 154 measures of language, and two others examined the predictive validity of LENA variables with 155 later standardized measures of language (Markfeld et al., 2022; Seidl et al., 2018; Swanson et al., 156 2018). Further, these studies did not examine the full range of possible variables that can be 157 derived from LENA when evaluating concurrent or predictive validity (e.g., a study evaluated 158 CVC but no other child variables). Therefore, although concurrent and predictive validity 159 between selected LENA variables purported to tap vocal development and language have been 160 examined in Sibs-autism, the stability and validity of such scores is not yet well-established. 161 However, the validity of a given measure is limited by its reliability, especially reliability related 162 to the stability of estimates across contexts and observations (Crocker & Algina, 1986; Yoder et 163 al., 2018). Thus, the stability of automated indices derived from LENA must be evaluated in 164 Sibs-autism in order to determine whether these indices are potentially valid for predicting 165 concurrent and/or future language. Additionally, the full range of child variables that can be 166 derived from LENA recordings have not yet been assessed for concurrent and predictive validity 167 with other language measures commonly used in Sibs-autism.

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| 168 | The present study sought to extend the aforementioned work to evaluate the extent to | | | | | | |
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| 169 | which several indices of vocal development that can presently be derived via automated vocal | | | | | | |
| 170 | analysis are stable and associated with concurrent language in Sibs-autism, as well as the extent | | | | | | |
| 171 | to which these indices predict language as assessed 9 months later. Specific research questions | | | | | | |
| 172 | were as follows: | | | | | | |
| 173 | 1. How many audio recordings are necessary to obtain stable automated indices of vocal | | | | | | |
| 174 | development in Sibs-autism and infants at relatively lower, general population level | | | | | | |
| 175 | likelihood for autism (i.e., Sibs-NA; infant siblings of non-autistic children)? | | | | | | |
| 176 | 2. To what extent are automated indices of vocal development associated with concurrent | | | | | | |
| 177 | language in Sibs-autism and Sibs-NA? | | | | | | |
| 178 | 3. To what extent are these novel, automated indices valid for predicting future language in | | | | | | |
| 179 | Sibs-autism and Sibs-NA? | | | | | | |
| 180 | Method | | | | | | |
| 181 | Recruitment and study procedures were carried out in accordance with the approval of | | | | | | |
| 182 | the Vanderbilt Institutional Review Board. All caregivers provided written informed consent | | | | | | |
| 183 | prior to their child's participation in the study. | | | | | | |
| 184 | Participants | | | | | | |
| 185 | Participants were 40 infants enrolled in a longitudinal study of language development, 20 | | | | | | |
| 186 | Sibs-autism and 20 Sibs-NA (see Table 1; sample partially overlaps with previous work from our | | | | | | |
| 187 | laboratory; i.e., Bottema-Beutel et al., 2019; Feldman et al., 2021; Santapuram et al., 2022). | | | | | | |
| 188 | Participants were recruited via flyers, emails, local preschools and doctor's offices, word of | | | | | | |
| 189 | mouth, and community outreach events at the local science center. All participants met the | | | | | | |
| 190 | following inclusion criteria: (a) chronological age of 12-18 months (± 30 days) at the time LENA | | | | | | |

191 recordings were collected and (b) living in a primarily English-speaking household. In the Sibs-192 autism group, at least one older sibling was diagnosed with autism in an evaluation that included 193 a research-reliable administration of the Autism Diagnostic Observation Schedule (ADOS; Lord 194 et al., 2012). For the Sibs-NA group, participants were required to (a) have only typically-195 developing siblings, as confirmed by screening below the threshold for autism risk on the Social 196 Communication Questionnaire (Rutter et al., 2003) and (b) no first-degree relatives on the autism 197 spectrum. Exclusion criteria for both groups were (a) adverse neurological history, (b) known 198 genetic conditions, and (c) pre-term birth (gestation < 37 weeks). Groups did not differ on 199 chronological age and biological sex but did differ on entry-level mental age (see Table 1). 200 Additional participant demographics (i.e., race, ethnicity, and caregiver level of education as a 201 proxy for socioeconomic status [SES]) are reported in Table 1.

202 **Procedures**

203 LENA data collection. All participants were provided with two LENA recording 204 devices, which were worn for 16 hours each. This is the maximum recording time for LENA 205 processors. Infants wore the LENA devices for two days within a one-week period in their 206 natural environments (i.e., typical home and community settings). Additionally, participants 207 were provided with a specialized garment (e.g., shirt, vest) to wear throughout recording 208 sessions. Caregivers were instructed to turn the recorders on when their child woke up in the 209 morning and to place the recorders in the front chest pocket of their child's garment. The devices 210 ran continuously throughout the day, and the collected audio data was transferred onto password-211 protected laboratory computers upon return.

Across the two days of recordings, eight recordings were fewer than 16 hours long (Mtime of these eight recordings = 10.91 hours, SD = 1.96 hours, Min-Max = 9.34-14.97 hours).

| 214 | Recordings were analyzed using the LENA Advanced Data EXtractor (ADEX) software to |
|-----|---|
| 215 | derive the following indices purported to tap child vocal complexity, frequency, and duration: |
| 216 | Automated Vocalization Analysis (AVA) raw scores, Child Vocalization Count, and Child |
| 217 | Vocalization Duration. Using modified speech algorithms, the LENA software segments audio |
| 218 | data and categorizes each segment as likely to have been produced by the target child or by an |
| 219 | alternate speaker/sound source. Segments identified as produced by the target child are further |
| 220 | categorized as speech-related utterances, vegetative sounds, or fixed signals such as crying (for |
| 221 | further detail regarding segmentation and classification, refer to Xu, Yapanel, & Gray, 2008; Xu, |
| 222 | Yapanel, Gray, et al., 2008). AVA raw scores are based on bi-phone distributions within speech- |
| 223 | related utterances, while Child Vocalization Count and Duration tap the frequency and duration |
| 224 | of speech-related utterances, respectively (Richards et al., 2017; Warren et al., 2010). |
| 225 | A previously developed index of caregiver-child vocal reciprocity (Reciprocal Vocal |
| 226 | Contingency score; RVC score; Harbison et al., 2018) was derived using extant software (Yoder |
| 227 | et al., 2016). This index quantifies child vocal reciprocity based on bidirectional, three-event |
| 228 | child-caregiver-child exchanges and controls for the chance sequencing of these vocal events. |
| 229 | Finally, we evaluated two additional proprietary LENA variables not presently included |
| 230 | in the ADEX software suite. The Infraphonological Vocal Development (IVD) score quantifies |
| 231 | vocal complexity based on 12 acoustic parameters purported to tap speech-likeness of child |
| 232 | vocalizations. In brief, a software program presently available for research purposes is used to |
| 233 | derive raw scores based on the presence or absence of the 12 parameters in "vocal islands" |
| 234 | (syllable-like units) identified as being produced by the target child; raw scores are then |
| 235 | weighted using beta weights from a regression model that predicted chronological age in a |

sample of typically developing children in Oller et al. (2010) to derive the final IVD scores (see
Woynaroski et al., 2017; Yoder et al., 2013).

238 Average Count Per Utterance (ACPU) scores (Xu et al., 2014) were generated using 239 open-source Sphinx recognition software that automatically identifies consonants, vowels, 240 silence, and nonspeech sounds (such as lip smacking or coughs) within utterances labeled as 241 being produced by the target child. We focused on the ACPU of the Sphinx-identified elements 242 theoretically expected to predict future language - estimated phone counts. We derived both ACPU-Consonants and ACPU-Vowels scores. These scores were aggregated into an ACPU-243 244 Consonants+Vowels (ACPU-C+V) score because they are conceptually similar and were 245 previously observed to be empirically related (Woynaroski et al., 2017).

Expressive and receptive language aggregates. To evaluate concurrent validity,
infants' expressive and receptive language was evaluated at 12-18 months using the Mullen
Scales of Early Learning (MSEL; Mullen, 1995), the Vineland Adaptive Behavior Scales
(VABS; Sparrow et al., 2005), and the MacArthur-Bates Communicative Development
Inventory: Words and Gestures (MCDI; Fenson et al., 2007). To evaluate predictive validity, the
MSEL and VABS were also collected 9 months later (at Time 2), along with the Words and
Sentences form of the MCDI.

At each timepoint, aggregates were generated for each participant by averaging the zscores for (a) raw scores from the relevant indices of the MCDI and (b) the age equivalency scores from the relevant indices of the MSEL and VABS. Aggregates were used to enhance the stability and, thus, the potential construct validity of our language scores (Rushton et al., 1983), and have been used in prior work investigating language in autistic children as well as Sibsautism (e.g., Feldman et al., 2021, Rogers et al., 2021).

259 Analytic Plan

260 All automated vocal indices were derived for the entire recording period. Prior to running 261 analyses, Child Vocalization Count and Duration indices were divided by the total length of the 262 recording to account for limited incomplete recordings (i.e., 8/80 recordings; 10% of sample). 263 There is limited information from the LENA Foundation itself on recommended length of 264 recording necessary to obtain reliable estimates of the indices derived in this study; however, 265 available resources recommend at least one hour of recording for some indices (Gilkerson & 266 Richards, 2020). Deriving rates of LENA indices is common and recommended by researchers 267 who use LENA hardware and software as a method of reducing the potential for biased estimates 268 in recordings that are likely to be influenced by recording duration (i.e., count variables) and may 269 be incomplete (e.g., Bredin-Oja et al., 2018; Dykstra et al., 2012; Markfeld et al., 2023; Putnam 270 et al., 2023). The remaining variables (i.e., AVA raw scores, RVC, ACPU-C+V, and IVD) are 271 metrics unlikely to be influenced by the length of recording times due to how they are derived 272 (i.e., by using proportions or means) and thus did not require correction, consistent with past 273 studies of these automated measures (McDaniel et al., 2020; Seidl et al., 2018; Woynaroski et al., 274 2017; Xu et al., 2014; Yoder et al., 2013).

To answer our first research question, G and D studies (Cronbach et al., 1963; Yoder et al., 2018) were carried out for all automated indices of interest to evaluate the stability across and within groups. G studies quantified the test-retest reliability of variables based on how consistently children ranked relative to one another in terms of vocal development across repeated observations. These studies produced an intra-class correlation coefficient referred to as a *g* coefficient. Consistent with previous research (Bottema-Beutel et al., 2019; Sandbank & Yoder, 2014; Woynaroski et al., 2017), the a priori threshold for acceptable stability was set at *g*

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282 ≥ 0.8 . D studies, which drew upon the variance estimates from the G studies to extrapolate 283 beyond observed data, were used to determine the g coefficients up to a maximum of 6 days (i.e., 284 6 days with each day indexed by a maximum 16-hour-long LENA recording) and to assess 285 which LENA variables reached our a priori stability threshold of $g \ge 0.8$ within this window. 286 To answer our second and third research questions, a series of multiple regression 287 analyses was carried out to evaluate the magnitude of zero-order correlations between variables 288 derived from audio recordings and (a) concurrent and (b) future expressive and receptive 289 language, as well as to test whether the aforementioned associations were moderated by 290 likelihood status. Any variables that were non-normally distributed (i.e., skew > |1| or kurtosis >291 [3]) were transformed, and missing data (ranging from 0-27.5% across variables) were imputed 292 using the *missForest* package (Stekhoven & Bühlmann, 2012) in R (R Core Team, 2020). 293 Interaction effects were probed as planned follow-up analyses at $p \le .1$ due to our small sample 294 size and the preliminary, exploratory nature of our planned analyses. 295 In a series of post-hoc analyses, we reran the above analyses while covarying for primary 296 caregiver's level of education (see Hoff, et al., 2018; Huttenlocher et al, 2002; Justice, et al., 297 2020), which served as our proxy for SES, to evaluate whether results were robust to controlling 298 for SES. We hypothesized that controlling for SES would not change our results. 299 A priori power analyses conducted with consideration of the magnitude of associations 300 between LENA indices and language previously found for autistic children (e.g., Woynaroski et 301 al., 2017) indicated that a minimum sample size of 40 was needed in order to detect zero-order 302 correlations between LENA indices and language that were at least moderate in magnitude (i.e., 303 $r \ge .30$) with a two-tailed test and $\propto = .05$.

Results

305 Stability

306 Stability differed by variable and group status (see Table 2). All variables reached 307 acceptable stability in the Sibs-autism group within two to three observations (i.e., audio 308 recorded samples), while most variables would require six or more observations in the Sibs-NA 309 group to achieve acceptable stability.

AVA raw scores surpassed the a priori threshold of $g \ge .8$ with two recordings as derived across both groups (g for two recordings = 0.869) and within the Sibs-autism group (g for two recordings = 0.884; See Figure 1A). This variable surpassed the threshold for acceptable stability in the Sibs-NA group after three recording days (g = .857).

RVC scores were stable across groups within two days of recording (g = .830; see Figure 1B). This index surpassed the stability threshold with two recordings in the Sibs-autism group (g= .883). However, analyses indicated that it would take six days of recording to obtain

317 acceptably stable RVC scores in the Sibs-NA group (g = .820).

Child Vocalization Count and Child Vocalization Duration were not stable with two 318 observations across groups (gs = .719 and .663, respectively; see Figures 1C and 1D). Both 319 320 variables required a minimum of four recordings to achieve adequate stability across groups 321 (gs = .837 and .797 for Child Vocalization Count and Child Vocalization Duration, respectively). 322 In the Sibs-autism group, Child Vocalization Count reached acceptable stability within two 323 recordings (g = .797), and Child Vocalization Duration would require a minimum of three 324 recordings to reach acceptable stability (g = .818). In the Sibs-NA group, Child Vocalization 325 Count would reach acceptable stability within six recordings (g = .814), while Child 326 Vocalization Duration would not reach sufficient stability even with six recordings (g = .745).

327 IVD scores were stable across groups after three recording sessions (g = .825) and within 328 the Sibs-autism group after two recording sessions (g = .848) but would not surpass the a priori 329 threshold even with six recordings within the Sibs-NA group (g = .568, see Figure 1E). 330 ACPU-C+V scores were sufficiently stable after two recording sessions both across 331 groups (g = .918) and within groups (g = .918 and .920 for two recordings in Sibs-autism and 332 Sibs-NA groups, respectively; see Figure 1F). ACPU-C+V scores and AVA raw scores were the 333 only variables that demonstrated adequate stability in the Sibs-NA infant group in fewer than six 334 observations (g = .885 and .800 respectively, after two recording sessions). 335 **Concurrent Validity**

336 Expressive Language

337 Like stability, validity differed by variable and group status (see Table 3). Despite 338 demonstrating relatively high stability, neither AVA raw scores (zero-order correlation = 0.18) 339 nor RVC scores (zero-order correlation = 0.14) were associated with concurrent expressive language across groups. Across groups, IVD scores were significantly negatively associated with 340 341 expressive language (zero-order correlation = -0.37, p = 0.041), such that scores indexing less 342 complex vocalizations tended to be associated with greater concurrent language abilities. The 343 association with ACPU-C+V scores and concurrent expressive language was not significant 344 across groups (zero-order correlation = -0.20). The aforementioned findings for IVD and ACPU-345 C+V scores were, notably, not in the anticipated direction.

Multiple regression analyses indicated that the relations between the expressive language aggregate and Child Vocalization Count and Duration were moderated by group (*p* values for vocal index*likelihood group product terms in multiple regression models testing moderated effects = 0.066 and 0.021, respectively; see Figure 2). Within the Sibs-NA group, Child Vocalization Count (zero-order correlation = 0.35) and Child Vocalization Duration (zero-order correlation = 0.40) were moderately and positively associated with concurrent expressive language. Contrary to our expectations, Child Vocalization Count and Duration were not associated with concurrent expressive language and, in fact, trended in the opposite direction in the Sibs-autism group (zero-order correlations = -0.19 and -0.29, respectively).

355 *Receptive Language*

356 Neither Child Vocalization Count nor Child Vocalization Duration was significantly 357 associated with concurrent receptive language across groups, despite small to moderate effect 358 sizes (zero-order correlations = 0.30 and .26 for associations between Child Vocalization Count 359 and Child Vocalization Duration and receptive language, respectively). IVD scores were also 360 not significantly associated with receptive language across groups (zero-order correlation = -361 (0.27). The associations for the ACPU-C+V score with concurrent receptive language was not 362 significant across groups (zero-order correlation = -0.07). Similar to relations with expressive 363 language, the associations with the IVD and ACPU-C+V scores and concurrent receptive 364 language were not trending in the anticipated direction. Product terms testing moderated effects 365 were non-significant for these models (see Table 2).

366 Concurrent Validity Follow-Up Analyses

Given the unanticipated finding of associations in the opposite of the theoretically supported direction in probes of significant moderated relations, zero-order correlations between language aggregates and the remaining LENA variables were also derived within the sibling groups (see Table 3 for a detailed summary of all correlations within and across groups). For ACPU-C+V scores, the correlations tended to be more positive in the Sibs-NA group compared to the Sibs-autism group, while correlations for RVC scores tended to be more positive in the

373 Sibs-autism group compared to the Sibs-NA group. The IVD score was significantly, but 374 negatively associated with expressive language within the Sibs-autism group (zero-order 375 correlations = -0.46). Note again that this result reflects a moderate association that is not in the 376 anticipated direction. None of the other associations surpassed the threshold for statistical 377 significance within groups.

378 **Predictive Validity**

379 Expressive Language

380 Across groups, Child Vocalization Count and Duration demonstrated significant positive 381 associations with Time 2 expressive language (zero-order correlations = 0.38 and 0.38382 respectively; see Figure 2), with moderate effect sizes (see Table 4). No other automated vocal 383 indices were significantly associated with Time 2 expressive language across groups. 384 The association between Child Vocalization Duration and expressive language was 385 moderated by group (p value for vocal index*likelihood group product terms in multiple 386 regression models testing moderated effects = 0.089). Although the concurrent association 387 between Child Vocalization Count and expressive language was moderated by group, the 388 predictive association did not cross the threshold for statistical significance (p value for vocal 389 index*likelihood group product term in multiple regression model testing moderated effects = 390 0.134; see Figure 3). For both Child Vocalization Count and Child Vocalization Duration, 391 however, the relations were more positive in the Sibs-NA group (zero-order correlations = 0.46392 and 0.50 for Child Vocalization Count and Child Vocalization Duration, respectively) compared 393 to the Sibs-autism group (zero-order correlations = 0.26 and 0.30 for Child Vocalization Count 394 and Child Vocalization Duration, respectively). Associations between expressive language and 395 the remaining automated vocal indices were not moderated by group.

396 *Receptive Language*

Across groups, Child Vocalization Count was the only vocal variable significantly associated with Time 2 receptive language (zero-order correlation = 0.32, p = 0.046). No other significant associations were found between any of the automated indices of vocal development and Time 2 receptive language across groups; no associations with receptive language were moderated by group (see Table 4).

402 SES as a Covariate in Analyses

403 Maternal education level, as a proxy for SES, was significantly associated with two of the

404 six LENA indices (i.e., CVC and AVA scores; r = .34 and .43, p = .029 and .006, respectively).

406 .19, ps > .23 for indices of language at both timepoints).

407 Results for significant relations between LENA indices and language were robust to
408 covarying for maternal education level. Notably, though, the association between IVD and Time
409 1 expressive language was significantly moderated by group when maternal education was
410 included as a covariate, and the association between child vocalization duration and Time 2
411 expressive language was not significantly moderated by group when the covariate was included.
412 We have reported associations between LENA indices and language, covarying for maternal
413 education, in Supplemental Tables S1 and S2.

414 **Post-Hoc Analyses**

To assess whether group differences in variance on language scores could potentially account for the differential findings regarding the concurrent and predictive validity of LENA indices by sibling group, Levene's tests for equality of variances were run on the receptive and expressive language aggregates from Times 1 and 2. Sibs-autism and Sibs-NA did significantly 419 differ in their variance of receptive and expressive language scores at Time 1 (p = .05 and .01 for 420 receptive and expressive scores, respectively), such that Sibs-NA presented with increased 421 variance in language to explain at this measurement period relative to Sibs-NA. There were no 422 significant differences in the variance of receptive and expressive language scores between 423 sibling groups at Time 2 (p = .17 and .09, respectively), though between-group differences 424 trended in the same direction as Time 1 at this later timepoint.

425 Summary

426 In summary, stability varied by LENA variable and sibling group, such that Sibs-autism 427 overall tended to display higher stability for automated indices of vocal development when 428 compared to Sibs-NA. LENA variables showed limited associations with concurrent language, 429 such that only associations with IVD were significant. Notably, this association was in the 430 unexpected direction, such that IVD was negatively associated with concurrent language across 431 all infants. There was more support for some LENA variables demonstrating associations with 432 later language (i.e., predictive validity), such that CVC was positively associated with later child 433 expressive and receptive language and CVD was positively associated with later expressive 434 language; the latter association (i.e., between CVD and expressive language) was significantly 435 stronger in Sibs-NA versus Sibs-autism.

436

Discussion

The present study evaluated the stability and validity of several indices that can be derived via automated vocal analysis in infants at high and relatively lower likelihood for a future diagnosis of autism and language impairment. To our knowledge, this is the first study to examine the stability of LENA variables in Sibs-autism and extends prior work by examining the concurrent and predictive validity of a wide range of LENA variables in Sibs-autism (Seidl et al., 442 2018; Swanson et al., 2018). Results of the present study suggest that it is feasible to obtain 443 acceptably stable scores for many automated indices of vocal development that can be obtained 444 from daylong LENA recordings, at least in Sibs-autism. Doing so would require only two days 445 of audio recording in everyday settings for five of the six automated indices of vocal 446 development assessed. Stability was notably poorer in Sibs-NA compared to Sibs-autism, with 447 four of the six automated vocal indices assessed requiring six or more observations to reach 448 sufficient stability in the Sibs-NA group. Many of the LENA variables did not show strong 449 associations with concurrent and future child language across sibling groups.

450 Findings for Stability According to Sibling Group

451 It is unclear why stability differed according to group. We hypothesize this result may be 452 explained by the fact that Sibs-autism tended to present with greater variability on automated 453 indices on the whole relative to Sibs-NA, resulting in relatively more consistent rankings and 454 thus higher stability metrics within the former versus the latter group. Alternatively, differential 455 stability may be due to the fact that infants in the Sibs-autism group may be exposed to more 456 consistent caregiver-maintained supported joint engagement, which could subsequently elicit 457 child vocalizations with more reliable features (Bottema-Beutel et al., 2019). Another potential 458 explanation for differing group stability is that the home language environment of Sibs-NA may 459 be less stable as compared to Sibs-autism; Sibs-autism may have more consistent interactions in 460 their home settings due to their older autistic sibling being enrolled in therapies and possibly 461 having more structured household routines. It should be noted that high stability for many 462 automated metrics was observed (at least in Sibs-autism) in spite of what have been considered 463 suboptimal speech classification algorithms employed by the LENA recordings (Cristia et al.,

464 2020), a potential source of measurement error that could certainly influence stability of scores465 derived via automated vocal analysis.

466 Concurrent and Predictive Validity of LENA Variables

467 Though stability of LENA variables was generally poor in Sibs-NA, the Child 468 Vocalization Count and Duration variables demonstrated moderate to strong concurrent and 469 predictive associations with expressive language in this group. It is notable that these observed 470 correlations likely underestimate the true magnitude of these associations due to the instability of 471 these particular automated scores in the relatively low likelihood infants (Cronbach et al., 1963). 472 These specific automated metrics, thus, appear to show the greatest promise for measurement of 473 early vocal characteristics, at least in Sibs-NA (note that small to moderate, albeit not statistically 474 significant predictive associations appeared to be present for these variables in Sibs-autism as 475 well). Those considering employing such indices should consider, however, that to obtain highly 476 stable estimates of child vocalization frequency and duration via automated vocal analyses in 477 some groups a large number of audio recordings (i.e., 6+ for Sibs-NA) would need to be 478 collected, which may or may not be feasible in research and/or clinical practice settings. 479

Findings on the whole indicate that the automated scores we tested here may have limited validity or clinical utility for indexing language development in Sibs-autism, at least in the 12-18-month window, despite relatively higher stability in this group. As indicated above, the variables with seemingly the most empirical support in the present study are Child Vocalization Count and Duration, as these variables display some theorized associations with later expressive, and to a lesser degree later receptive, language across and within groups. Associations even for these variables, however, tended to be attenuated in Sibs-autism in comparison to Sibs-NA. It is possible that the limited variability in language scores at Time 1 in the Sibs-autism relative to the

487 Sibs-NA accounts, at least in part, for more attenuated associations between LENA indices and
488 language outcomes in the former versus the latter group.

489 It is notable that several of the variables that had previously amassed some psychometric 490 support for use with preschool aged autistic children, including RVC, IVD, and ACPU-C+V 491 scores, were not correlated with concurrent or later language across groups or within Sibs-492 autism, despite displaying acceptable stability in some cases (e.g., Harbison et al., 2018; 493 Woynaroski et al., 2017; Yoder et al., 2013). In fact, of the aforementioned scores, only the 494 ACPU-C+V score yielded relations with language that trended in the expected direction. 495 Correlations between IVD scores and language aggregates tended to be negative (i.e., not in the 496 anticipated direction), and associations between RVC scores and language were, on the whole, 497 negligible in magnitude. These results suggest that additional work is much needed to ascertain 498 the degree to which automated vocal analysis is valid for use early in life, in particular in infants 499 at elevated likelihood for autism and other language and language impairments.

500 Using LENA in Sibs-autism

501 The limited validity of selected LENA variables in Sibs-autism (despite relatively higher 502 stability as compared to Sibs-NA) may be due to the inability of automated vocal analysis to tap 503 aspects of vocal development that may be particularly important for this population. For 504 example, at present, there is not an automated LENA variable that differentiates between 505 vocalizations that are communicative verses noncommunicative in nature. This distinction may be particularly important, as toddlers who go on to receive an autism diagnosis have been shown 506 507 to vocalize more frequently for noncommunicative rather than communicative purposes relative 508 to their typically developing peers, and communicative vocalizations have been observed to be 509 more strongly associated with future language outcomes than noncommunicative vocalizations

(e.g., Plumb & Wetherby, 2013; Shumway & Wetherby, 2009). Future studies may, therefore, further explore the extent to which conventionally coded indices of vocal development appear to be more valid for use in Sibs-autism; some work has already been done investigating the benefits of human annotation and coding in addition to automated methods of vocal development in

autistic children and in Sibs-autism (e.g., Edmunds, 2019; McDaniel et al., 2020).

515 Limitations and Future Directions

516 This study provides new insights into the psychometrics of automated vocal analysis in 517 infants at high and relatively lower likelihood for autism but is not without limitations. The 518 greatest of these limitations is that the correlational design employed does not control for 519 alternative explanations for relations of interest. Thus, additional work is needed before we can 520 draw conclusions regarding the causal nature of any of the (limited) associations observed here. 521 Two limitations must be noted about our convenience sample. First, the sample was 522 much more homogenous than we had hoped in regards to race, ethnicity, and SES. Second, we 523 did not collect information regarding the participants' home dialects given that (a) the infants in 524 our sample were too young to formally assess dialect via previously developed and validated 525 screening tools and (b) there is, to our knowledge, no standardized and/or norm-referenced 526 assessment for caregivers' home dialect use. Thus, our results may not generalize to the full 527 population of autistic children, as these dimensions of diversity (i.e., race, ethnicity, socioeconomic status, dialect) may interact to influence early language development in different 528 529 ways (Diemer et al., 2013; McLaughlin et al., 2021; Oetting, 2020). Future work should 530 investigate these concurrent and predictive associations in groups of Sibs-autism who are more 531 diverse.

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532 Additionally, the small sample size and preliminary nature of this study may have limited 533 our ability to detect effects of interest, as several correlations and moderated effects were smaller 534 in magnitude than we had anticipated. A priori power analyses indicated that the present study 535 was powered to detect moderate to large correlations between LENA indices and language, but 536 not associations of lesser magnitude. Additional work involving larger samples is, therefore, 537 required to more fully evaluate the validity of automated vocal analysis in Sibs-autism. For 538 example, completing an individual participant-level data meta-analysis could provide more 539 precise estimates regarding the magnitude and directionality of concurrent and predictive 540 associations of LENA variables in infants at increased and general-population level likelihood 541 for a future diagnosis of autism.

Finally, future work should investigate associations between LENA indices and language outcomes in Sibs-autism at later timepoints. We measured language outcomes in the 21-27month window, which is before autism diagnoses are considered stable in this population (Ozonoff et al., 2015). Following this sample to later timepoints will allow us to draw conclusions about which automated indices of vocal development most strongly predict language impairment, as well as autism, in Sibs-autism, who are at increased likelihood for these diagnoses.

549

Conclusion

The findings of this preliminary study contribute to our understanding of the stability and utility of using LENA to measure prelinguistic vocal development in infants, including those who are at increased familial likelihood for autism. Our results suggest that the stability of LENA variables differs between infants at increased likelihood for autism and infants at a lower, population-level likelihood for autism such that infants at increased likelihood for autism

| 555 | demonstrated higher stability on automated LENA variables. Additionally, few LENA variables |
|-----|---|
| 556 | that were examined in this study had validity for predicting concurrent and future language in |
| 557 | these populations, although there was slightly more empirical support for predicting concurrent |
| 558 | and future language via LENA variables in infants with non-autistic siblings within the |
| 559 | developmental windows of interest to the present report. Future work is needed to understand |
| 560 | why these group differences may exist, and to further validate the use of automated vocal |
| 561 | analyses to examine early language development in infants. |

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825

826 Participant Characteristics According to Sibling Group

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| | Sibs-autism $(n = 20)$ M(SD) min-max | Sibs-NA $(n = 20)$ M(SD) min-max | |
|---|---|---|--|
| Biological Sex | 14 boys, 6 girls | 12 boys, 8 girls | |
| Chronological Age in Months | 14.05 (1.93) 11 – 18 | 14.2 (2.26) 11 – 18 | |
| Mental Age in Months* | 13.16 (1.52)14.88 (2.48)10.25 - 1611.5 - 19.5 | | |
| | п | п | |
| Race | 17 White 2 Multiple 1 Black | 20 White | |
| Ethnicity | 1 Hispanic/Latino 19 Not Hispanic/Latino | 1 Hispanic/Latino 19 Not Hispanic/Latino | |
| Primary Caregiver's Highest Level of Education | 2 High School Diploma or GED 9 College/Technical (1-2 Yrs) 4 College/Technical (3-4 Yrs) 3 Graduate/Professional School (1-2 Yrs) 2 Graduate/Professional School (3+ Yrs) | 4 College/Technical (1-2 Yrs) 7 College/Technical (3-4 Yrs) 3 Graduate/Professional School (1-2 Yrs) 6 Graduate/Professional School (3+ Yrs) | |

828 Note. Sibs-NA = Infants with typically-developing older siblings, Sibs-autism = Infants with at

829 least one older sibling diagnosed with autism, Mental age in months = Average of visual

830 reception, fine motor, expressive language, and receptive language age equivalency scores from

the Mullen Scales of Early Learning (Mullen, 1995).

832 *Groups significantly differed in mental age, p = 0.012.

- 833
- 834

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837 Stability by Automated Index and Sibling Group

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| Variable | Overall | Sibs-autism | Sibs-NA |
|-----------------------------|---------|-------------|----------|
| AVA raw score | Yes | Yes | No |
| | (2 obs) | (2 obs) | (3 obs) |
| RVC | Yes | Yes | No |
| | (2 obs) | (2 obs) | (6 obs) |
| Child Vocalization Count | No | Yes | No |
| | (4 obs) | (2 obs) | (6 obs) |
| Child Vocalization Duration | No | No | No |
| | (4 obs) | (3 obs) | (>6 obs) |
| IVD | No | Yes | No |
| | (3 obs) | (2 obs) | (>6 obs) |
| ACPU-C+V | Yes | Yes | Yes |
| | (2 obs) | (2 obs) | (2 obs) |

Note. Sibs-NA = Infants with typically-developing older siblings, Sibs-autism = Infants with at least one older sibling diagnosed with autism, AVA = Automated Vocalization Analysis score (Richards et al., 2017), RVC = Reciprocal Vocal Contingency score (Harbison et al., 2018), IVD = Infraphonological Vocal Development score (Woynaroski et al., 2017; Yoder et al., 2013), ACPU-C+V = Average Count Per Utterance-Consonant+Vowel (Xu et al., 2014). Variables denoted with "yes" reached our a priori level of stability (i.e., $g \ge 0.8$) within two observations. The number of observations required to derive a stable estimate is also provided for ease of reference below the yes/no indicator.

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842

843 Concurrent Validity by Automated Index and Sibling Group

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| | Overall | | Overall Sibs-autism | | Sibs | Sibs-NA | |
|-----------------------------------|------------------|----------|---------------------|----------|----------|----------|--|
| Variable | Exp Lang | Rec Lang | Exp Lang | Rec Lang | Exp Lang | Rec Lang | |
| AVA raw score | 0.18 | 0.09 | -0.10 | -0.07 | 0.10 | -0.05 | |
| RVC | 0.14 | 0.09 | 0.18 | 0.13 | -0.17 | -0.24 | |
| Child Vocalization Count | 0.17^{\dagger} | 0.30 | -0.19 | 0.20 | 0.35 | 0.32 | |
| Child Vocalization Duration | 0.12† | 0.26 | -0.29 | 0.16 | 0.40 | 0.34 | |
| IVD | -0.37* | -0.27 | -0.46* | -0.27 | -0.14 | -0.09 | |
| ACPU-C+V | -0.01 | 0.03 | -0.20 | -0.07 | 0.27 | 0.22 | |

845 *Note.* Sibs-NA = Infants with typically-developing older siblings, Sibs-autism = Infants with at

least one older sibling diagnosed with autism, AVA = Automated Vocalization Analysis raw

score (Richards et al., 2017), RVC = Reciprocal Vocal Contingency score (Harbison et al.,

848 2018), IVD = Infraphonological Vocal Development score (Woynaroski et al., 2017; Yoder et

849 al., 2013), ACPU-C+V = Average Count Per Utterance-Consonant+Vowel score (Woynaroski et

al., 2017; Xu et al., 2014), Exp Lang = Expressive language aggregate, Rec Lang = Receptive

851 language aggregate.

[†]Association was moderated by Sibling group (*p* for interaction term in multiple regression

analyses < 0.1).

854 *p < 0.05.

857

858 Predictive Validity by Automated Index and Sibling Group

| | Overall | | Sibs-a | Sibs-autism | | Sibs-NA | |
|-----------------------------------|----------|----------|----------|-------------|----------|----------|--|
| Variable | Exp Lang | Rec Lang | Exp Lang | Rec Lang | Exp Lang | Rec Lang | |
| AVA raw score | 0.13 | 0.15 | -0.18 | 0.12 | 0.08 | -0.04 | |
| RVC | 0.15 | 0.11 | 0.06 | 0.22 | 0.02 | -0.23 | |
| Child Vocalization Count | 0.38* | 0.32* | 0.26 | 0.33 | 0.46* | 0.29 | |
| Child Vocalization Duration | 0.38†* | 0.29 | 0.30 | 0.29 | 0.50* | 0.30 | |
| IVD | -0.17 | -0.28 | 0.06 | -0.30 | -0.11 | -0.13 | |
| ACPU-C+V | 0.13 | 0.09 | 0.06 | 0.06 | 0.37 | 0.24 | |

859 *Note.* Sibs-NA = Infants with typically-developing older siblings, Sibs-autism = Infants with at

860 least one older sibling diagnosed with autism, AVA = Automated Vocalization Analysis raw

861 score (Richards et al., 2017), RVC = Reciprocal Vocal Contingency score (Harbison et al.,

862 2018), IVD = Infraphonological Vocal Development score (Woynaroski et al., 2017; Yoder et

al., 2013), ACPU-C+V = Average Count Per Utterance-Consonant+Vowel score (Woynaroski et
al., 2017; Xu et al., 2014), Exp Lang = Expressive language aggregate, Rec Lang = Receptive

865 language aggregate.

^{*}Association was moderated by Sibling group (*p* for interaction term in multiple regression

analyses < 0.1).

868 *p < 0.05.

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870

871

874 Figure 1









Figure Captions

882 *Figure 1: Stability of Variables Derived from LENA Recordings.* (A) Across both groups (black 883 line) and within the Sibs-autism group (blue line), Automated Vocalization Analysis (AVA; 884 Richards et al., 2017) raw scores reach acceptable stability ($g \ge 0.8$; dotted black line) in two 885 observations. In the Sibs-NA group (yellow line), it would take three observations to surpass the 886 threshold for acceptable stability. (B) Across both groups and within the Sibs-autism group, 887 Reciprocal Vocal Contingency (RVC; Harbison et al., 2018) scores reach acceptable stability in 888 two observations. In the Sibs-NA group, it would take six observations to reach acceptable 889 stability. (C) Across both groups, Child Vocalization Count reaches acceptable stability in four 890 observations. In the Sibs-autism group, it would take three observations to reach acceptable 891 stability, and within the Sibs-NA group it would take six observations. (D) Across both groups, 892 Child Vocalization Duration reaches acceptable stability in four observations. In the Sibs-autism 893 group, it would take three observations to reach acceptable stability, and within the Sibs-NA 894 group it would take over six observations. (E) Across both groups, Infraphonological Vocal 895 Development (IVD; Yoder et al., 2013; Woynaroski et al., 2017) scores reached acceptable 896 stability within three observations. In the Sibs-autism group, it would take two observations, and 897 within the Sibs-NA group it would take over six observations. (F) Average Count Per Utterance -898 Consonant+Vowel (ACPU-C+V; Xu et al., 2014; Woynaroski et al., 2017) was the only LENA 899 variable that was stable across and within groups within two observations. The lines are 900 overlapping in this figure.

Figure 2: Concurrent Associations between Expressive Language and Selected LENA Variables.
Concurrent associations between expressive language and (A) Child Vocalization Count and (B)
Child Vocalization Duration are significantly moderated by group, such that the associations are

- stronger in Sibs-NA (yellow) compared to Sibs-autism (blue). T1 = Time 1, when infants were
- 905 12-18 months of age.
- 906 *Figure 3: Predictive Associations between Expressive Language and Selected LENA Variables.*
- 907 (A) Child Vocalization Count predicted later language across groups. (B) The association
- 908 between Child Vocalization Duration and later expressive language was significantly moderated
- 909 by group. The relation is significantly stronger in Sibs-NA (yellow line) compared to Sibs-autism
- 910 (blue line).